

**Revised syllabi (2019 Pattern) for T.Y.B. Com Degree course (CBCS)**  
**Semester – VI**  
**SPECIAL ELECTIVE COURSE (Special Course – II)**  
**Banking & Finance-Special Paper II) Semester-VI(**  
**) Financial Markets and Institutions in India – II Course code :365-B**  
**Total Credits :04 (Theory 03 +Practical 01=04)**

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**Objectives :**

- 1 .To familiarize students about various basic concepts of stock market.
- 2 .To analyse the types and process of stock trading.
- 3 .To enable the students to understand the functions and working of Non -Banking Financial Institutions in India .
- 4 .To enable the students to acquire sound knowledge of Regulatory Bodies in India.

Unit No.	Topic	Number of Lectures	Teaching Method	Proposed skills to be developed
<b>01</b>	<b>Basic Concepts of Stock Market:</b> 1 Primary & Secondary Market . Merchant Banking, IPO,FPO 2 .Selective Stock Exchanges .Concept of Stock market 2.1 BSE - Bombay Stock Exchange 2.2 NSE- National Stock Exchange 2.3 Broker &Sub.broker, Demat Account, broker account, IPO Price band, Stock Listing, IPO Stock allotment 2.5 Small Cap, Mid Cap & Large Cap Companies 2.6 .Selective Market Index. Sensex, Nifty, Bank Nifty, Nifty future & Option 2.7 Bear & Bull Market	10	Lecture, PPT, Group and Panel Discussion, Library Work, Assignments	Understanding the basic concept of stock market.

<b>02</b>	<b>Stock Trading:</b> 2.1. Cash Market, Future &Option Market 2.2 Types of Stock Trading A. Day Trading )Intra.day Trading ( B. Delivery Trading C. Future & Option Trading 2.3 Types of Orders A. Buy B. Sell C. Stop loss . 2.4 Premium amount, Lot size 2.5. Lower & Upper Circuit. 2.6 Trade Settlement, Stock Oxen 2.7 Carrier opportunities in Stock Market	14	Lecture, PPT, Group and Panel Discussion, Library Work, Assignments	Understanding the basic concept and types of stock trading.
<b>03</b>	<b>Non-Banking Financial Institutions (NBFIs):</b> 3.1 Meaning and definitions Of NBFIs 3.2 Distinction between Bank and NBFIs 3.3 Functions and workings of. i) Lease Financing ii) Mutual Fund iii) Housing Finance Companies iv) Life Insurance Company )LIC ( v) General Insurance Company) GIC( 3.4 carrier opportunities in Insurance Sector	12	Lecture, PPT, Group Discussion, Library Work Book Assignment, Use of internet	Understanding the functions and working of Non -Banking Financial Institutions in India .
<b>04</b>	<b>Regulatory Bodies</b> 4.1 SEBI -Security Exchange Board of India 4.2 IRDA -Insurance Regulatory & Development Authority.	12	Lecture, PPT, Group and Panel Discussion, Library Work, Assignments	Understanding the role of SEBI in financial Market and Understanding the role of IRDA in Insurance Sector
<b>Total</b>		<b>48</b>		

**References:**

- 1 . Financial Institution and Market: L .M .Bhole
- 2 . Prasanna Chandra, “Investment analysis & Portfolio Management”, New-Delhi, The McGraw Hill Company Ltd.
3. V. K. Bhalla, “Portfolio Analysis & Management”, New-Delhi, Sultanchand & Sons Publication.
4. Panithavathy Pandian, “Securities Analysis and Portfolio Management”, New-Delhi, Vikash Publishing House Pvt. Ltd.
5. M. Ranganathan & R. Madhumahi, “Investment Analysis and Portfolio Management”. Pearson Education [India]
- 6 .Indian Financial System: Dr .M .Y .Khan
- 7 .Investment and Securities Markets in India: V .A .Avadhani
- 8 .Economic Reforms and Capital Markets in India: Anand Mittal
9. Financial Market and Institutions in India: Dr .Sunil Shete, Success Publication.